

Annual Valuation

TBA offers a variety of services that depend on where the plan investments are held. Below is a description of our services based on the plan investments.

PARTICIPANT DIRECTED RECORD KEPT INVESTMENTS

These types of plans are invested with a fund company that does daily valuations. The services TBA provides for this plan type are:

- Annually review the fund company reporting and create a Trust Reconciliation that will be used to complete the Form 5500.
- Annually review and compare the deposits made to the fund company to the annual census data provide by the client. We assist clients in identifying and resolving any deposit variances.

TRUSTEE DIRECTED ACCOUNTS HELD IN POOLED BROKERAGE ACCOUNTS

These types of plans are invested in a single trust and all participant money is invested together. The services TBA provides for this plan type are:

- Annually we create a Trust Reconciliation that will be used to complete the Form 5500.
- Annually review and compare the deposits made to the fund company to the annual census data provided by the client. We assist clients in identifying and resolving any deposit variances.
- Annually we value the plan assets by participant and provide a valuation that contains a breakdown of the assets by plan participant.
- Annually we create participants' statements that show beginning and ending balance, and includes detail on account activity such as contributions, distribution, gain/loss, etc.

PARTICIPANT DIRECTED ACCOUNTS HELD IN INDIVIDUAL BROKERAGE ACCOUNTS

These types of plans are invested in individual brokerage accounts held in the name of the retirement plan for the benefit of the plan participant. The services TBA provides for this plan are:

- Annually we create a Trust Reconciliation that will be used to complete the Form 5500.
- Annually review and compare the deposits made to the fund company to the annual census data provide by the client. We assist clients in identifying and resolving any deposit variances.
- Annually we review the brokerage accounts and create a valuation that breaks the investment into contribution sources such as 401(k), matching or employer profit-sharing contributions.
- Annually we create participants' statements that show beginning and ending balance and includes detail on account activity such as contributions, distribution, gain/loss, etc.